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PATENT APPLICATION  
09/528,457

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mukesh Dalal  
Serial No.: 09/528,457  
Filing Date: March 17, 2000  
Group Art Unit: 3627  
Examiner: Steven B. McAllister  
Title: SYSTEM AND METHOD FOR MULTI-PARTY  
CONSTRAINED OPTIMIZATION

**Box AF**  
Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Office Action (24 pages with 2 attached sheets of drawings), Transmittal of Corrected Formal Drawings (1 page with 2 attached sheets of corrected formal drawing), a Notice of Appeal (2 pages), a company check in the amount of \$320.00 for the required Notice of Appeal filing fee (1 check), a Baker Botts return postcard (1 card), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 30th day of October, 2002 addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

*Willie Jiles*  
Willie Jiles

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RULE 116 AMENDMENT  
RESPONSE TO FINAL REJECTION**

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Serial No.: 09/528,457  
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Examiner: Steven B. McAllister  
Title: SYSTEM AND METHOD FOR  
MULTI-PARTY CONSTRAINED OPTIMIZATION

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

**Response Pursuant to 37 C.F.R. § 1.116**

In response to the final Office Action mailed July 30, 2002, Applicant respectfully requests the Examiner to reconsider the rejection of the claims in view of the following amendments and remarks. Please amend the Application as follows.

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